Company Tracking Number: AIC-AR-FIS-07 CR

TOI: 26.0 Burglary & Theft Sub-TOI: 26.0001 Commercial Burglary & Theft

Product Name: Axis FIS Crime Form

Project Name/Number: /

### Filing at a Glance

Company: Axis Insurance Company

Product Name: Axis FIS Crime Form SERFF Tr Num: REGU-125359796 State: Arkansas

TOI: 26.0 Burglary & Theft

SERFF Status: Closed

State Tr Num: EFT \$50

Sub-TOI: 26.0001 Commercial Burglary & Theft Co Tr Num: AIC-AR-FIS-07 CR

Filing Type: Form

Co Status:

Reviewer(s): Betty Montesi,

Llyweyia Rawlins, Brittany Yielding

Author: Jason Graciolett Disposition Date: 11/19/2007

Date Submitted: 11/14/2007 Disposition Status: Approved

Effective Date Requested (New): On Approval

Effective Date (New): 11/19/2007

Effective Date Requested (Renewal): On Approval Effective Date (Renewal):

11/19/2007

#### **General Information**

Project Name: Status of Filing in Domicile: Pending

Project Number: Domicile Status Comments:

Reference Organization: Reference Number:
Reference Title: Advisory Org. Circular:

Filing Status Changed: 11/19/2007

State Status Changed: 11/15/2007 Deemer Date:

Corresponding Filing Tracking Number:

Filing Description:

AXIS Insurance Company is submitting a new independent endorsement for use with its Commercial Crime Program.

There is no overall rating impact anticipated from the use of this endorsement.

Attached is an explanatory memorandum which includes a description of the endorsement.

The following items are enclosed for your review:

- 1. State Required Filing Forms
- 2. Explanatory Memorandum

SERFF Tracking Number: REGU-125359796 State: Arkansas
Filing Company: Axis Insurance Company State Tracking Number: EFT \$50

Company Tracking Number: AIC-AR-FIS-07 CR

TOI: 26.0 Burglary & Theft Sub-TOI: 26.0001 Commercial Burglary & Theft

Product Name: Axis FIS Crime Form

Project Name/Number:

3. Independent Crime Form

## **Company and Contact**

#### **Filing Contact Information**

(This filing was made by a third party - insuranceregulatoryconsultantsllc)

Jason Graciolett, Analyst jasongraciolett@ircllc.com

50 Broad Street (212) 571-3989 [Phone]

New York, NY 10004 () -[FAX]

**Filing Company Information** 

Axis Insurance Company CoCode: 37273 State of Domicile: Illinois

50 Broad Street Group Code: 3416 Company Type:

Suite 501

New York, NY 10004 Group Name: State ID Number:

(212) 571-3989 ext. [Phone] FEIN Number: 39-1338397

-----

## Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? No

Fee Explanation: AR is \$50 per forms filing.

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

Axis Insurance Company \$50.00 11/14/2007 16650348

Company Tracking Number: AIC-AR-FIS-07 CR

TOI: 26.0 Burglary & Theft Sub-TOI: 26.0001 Commercial Burglary & Theft

Product Name: Axis FIS Crime Form

Project Name/Number:

# **Correspondence Summary**

#### **Dispositions**

Status	Created By	Created On	Date Submitted
Approved	Llyweyia Rawlins	11/19/2007	11/19/2007

Company Tracking Number: AIC-AR-FIS-07 CR

TOI: 26.0 Burglary & Theft Sub-TOI: 26.0001 Commercial Burglary & Theft

Product Name: Axis FIS Crime Form

Project Name/Number: /

### **Disposition**

Disposition Date: 11/19/2007 Effective Date (New): 11/19/2007

Effective Date (Renewal): 11/19/2007

Status: Approved

Comment:

Rate data does NOT apply to filing.

Company Tracking Number: AIC-AR-FIS-07 CR

TOI: 26.0 Burglary & Theft Sub-TOI: 26.0001 Commercial Burglary & Theft

Product Name: Axis FIS Crime Form

Project Name/Number: /

Item Type	Item Name	Item Status	Public Access
Supporting Document	Uniform Transmittal Document-Pro	Yes	
0	Casualty		
Supporting Document	Filing Authorization	Approved	Yes
Supporting Document	Explanatory Memorandum	Approved	Yes
Form	AMEND DEFINITION OF EMPLO	Yes	
	BENEFIT PLAN ENDORSEMENT		

Company Tracking Number: AIC-AR-FIS-07 CR

TOI: 26.0 Burglary & Theft Sub-TOI: 26.0001 Commercial Burglary & Theft

Product Name: Axis FIS Crime Form

Project Name/Number: /

### **Form Schedule**

Review	Form Name	Form #	Edition	Form Type Action	Action Specific	Readability	Attachment
Status			Date		Data		
Approved	AMEND	CR 1001	0607	Endorseme New		0.00	CR 1001
	<b>DEFINITION OF</b>	(0607)		nt/Amendm			0607 Amend
	EMPLOYMENT			ent/Conditi			Definition of
	BENEFIT PLAN			ons			Employment
	ENDORSEMENT	Γ					Benefit
							Plan.pdf

Endorsement No.						
Effective date of this endorsement: 12:01 a.m. on						
AMEND DEFINITION OF EMPLOYMENT BENEFIT	PLAN ENDORSEMENT					
THIS ENDORSEMENT CHANGES THE POLICY. PL	EASE READ IT CAREFULLY.					
This endorsement modifies insurance provided under the following	ng:					
CRIME POLICY						
In consideration of the premium charged, it is agreed that the term <b>Employment Benefit Plan</b> as defined in Section II. DEFINITIONS of this Policy, is amended to include the following:						
<li>list plan(s)&gt;</li>						
All other provisions remain unchanged.						
	Authorized Representative					
	Date					

CR 1001 (0607) Page 1 of 1 Printed in USA

Company Tracking Number: AIC-AR-FIS-07 CR

TOI: 26.0 Burglary & Theft Sub-TOI: 26.0001 Commercial Burglary & Theft

Product Name: Axis FIS Crime Form

Project Name/Number: /

### **Rate Information**

Rate data does NOT apply to filing.

Company Tracking Number: AIC-AR-FIS-07 CR

TOI: 26.0 Burglary & Theft Sub-TOI: 26.0001 Commercial Burglary & Theft

Product Name: Axis FIS Crime Form

Project Name/Number:

## **Supporting Document Schedules**

**Review Status:** 

Satisfied -Name: Uniform Transmittal Document- Approved 11/19/2007

Property & Casualty

Comments:

Attachment:

AR - NAIC.pdf

**Review Status:** 

Satisfied -Name: Filing Authorization Approved 11/19/2007

Comments:

Attachment:

1. Authorization Letter - Crime.pdf

**Review Status:** 

Satisfied -Name: Explanatory Memorandum Approved 11/19/2007

Comments: Attachment:

2. Explanatory Memo - CR.pdf

## **Property & Casualty Transmittal Document**

Reserved for Insurance Dept. Use Only		ly	2. Insurance Department Use only  a. Date the filing is received:  b. Analyst:  c. Disposition:  d. Date of disposition of the filing:					
			e.	Effective da		ming.		
			<u> </u>	New Bu				
				Renewa	al Business			
			f.	State Filing	#:	•		
			g.	SERFF Filin	ıg #:			
			h.	Subject Cod	les			
3.	Group Name						Gr	oup NAIC #
	AXIS Capital Holdings							3416
4.	Company Name(s)			Domicile	NAIC #	FEIN	#	State #
	Axis Insurance Company			IL	37273	39-1338	397	
5.	Company Tracking Number		AIC-	AR-FIS-07 C	R			
Coi	ntact Info of Filer(s) or Corporate Offic		clude to	II-free numbe				
6.	Name and address	Title		ephone #s	FAX#			e-mail
	Jason Graciolett - IRC, LLC 50 Broad Street, Suite 501 New York, NY 10004	Analyst (212		2) 571-3989	. ,		ason cllc.c	graciolett@ir om
7.	7. Signature of authorized filer							
8.	Please print name of authorized filer		Jason Graciolett					
Fili	ng information (see General Instruction	s for des	criptions	s of these field	ds)			
<del>`</del>			26.0 - Burglary & Theft					
	Sub-Type of Insurance (Sub-TOI)		26.0001	Commercial	Burglary & Th	neft		
11.	State Specific Product code(s) (if							
12	applicable)[See State Specific Company Program Title (Marketing title	(e)						
	Filing Type	(5)	Rate	e/Loss Cost	Rules	F	Rates	s/Rules
			Forms Combination Rates/Rules/Forms					
AA Effective Date(a) Danisated			☐ Withdrawal ☐ Other (give descirption)				on Annassal	
14. Effective Date(s) Requested 15. Reference Filing?			New: □⊧ Yes	Upon Appro √ No	vdl	Renewal:	υp	oon Approval
	Reference Organization (if applicable)		<u> </u>					
17.	Reference Organization # & Title		N/A					
	Company's Date of Filing			er 14, 2007	–			
10	Status of filing in domicile		I Not	Filed 🗸 Pei	odina	Authorizo	.d	Disannroved

## **Property & Casualty Transmittal Document—**

20. This filing transmittal is part of Company Tracking # AIC-AR-FIS-07 CR
21. Filing Description [This area can be used in lieu of a cover letter or filing memorandum and is free-form text]
AXIS Insurance Company is submitting a new independent endorsement for use with its Commercial Crime Program.
There is no overall rating impact anticipated from the use of this endorsement.
22. Filing Fees (Filer must provide check # and fee amount if applicable)
[If a state requires you to show how you calculated your filing fees, place that calculation below]
Check #: EFT
Amount: \$50.00
Refer to each state's checklist for additional state specific requirements or instructions on calculating fees.
1.5.5. 15 5251 51615 5 511551615 151 446161616 54616 54616 15461616 57 115114 511616 511 5116161616 511 511616161616 511 511

<sup>\*\*\*</sup>Refer to the each state's checklist for additional state specific requirements (i.e. # of additional copies required, other state specific forms, etc.)

### FORM FILING SCHEDULE

(This form must be provided ONLY when making a filing that includes forms) (Do <u>not</u> refer to the body of the filing for the forms listing, unless allowed by state.)

1.	This filing transmittal is pa	irt of Company Trac	king #	AIC-AR	-FIS-07 CR	
2.	This filing corresponds to (Company tracking number of					
3.	Form Name /Description/Synopsis	Form # Include edition date	Replacement or Withdrawn?		If replacement, give form # it replaces	Previous state filing number, if required by state
01	Amend Definition of Employment Benefit Plan Endorsement	CR 1001 0607	<ul><li>✓ New</li><li>☐ Replaceme</li><li>☐ Withdrawn</li></ul>			
			<ul><li>☐ New</li><li>☐ Replaceme</li><li>☐ Withdrawn</li></ul>	ent		
			<ul><li>☐ New</li><li>☐ Replaceme</li><li>☐ Withdrawn</li></ul>	ent		
			<ul><li> □ New</li><li> □ Replaceme</li><li> □ Withdrawn</li></ul>	ent		
			<ul><li>☐ New</li><li>☐ Replaceme</li><li>☐ Withdrawn</li></ul>	ent		
			New Replaceme Withdrawn	ent		
			New Replaceme Withdrawn	ent		
			New Replaceme Withdrawn	ent		
			New Replaceme Withdrawn	ent		
			<ul><li>New</li><li>Replaceme</li><li>Withdrawn</li></ul>	ent		



November 14, 2007

#### **LETTER OF FILING AUTHORIZATION**

I, Roseanna Kreis, am a duly authorized Filing and Regulatory Analyst of AXIS Insurance Company. This letter authorizes Insurance Regulatory Consultants, LLC (IRC) to file on behalf of AXIS Insurance Company multiple endorsements for our Crime program. This authorization extends to all correspondence and inquiries in connection with the Crime program filing.

Please direct all correspondence regarding this program to:

Insurance Regulatory Consultants, LLC (IRC) 50 Broad Street, Suite 501 New York, NY 10004

**AXIS Insurance Company** 

Roseanna Kreis

Begulatory Filings Analyst

Signature

#### **AXIS INSURANCE COMPANY**

#### **EXPLANATORY MEMORANDUM**

#### **Commercial Crime Endorsement**

AXIS Insurance Company is submitting a new independent endorsement for use with its Commercial Crime Program. There is no overall rating impact anticipated from the use of these endorsements.

The enclosed forms are described below:

1. Form CR 1001 (Ed. 0607) - Amend Definition of Employment Benefit Plan Endorsement

Endorsement is used to schedule employee benefit plans pursuant to the form.